

Audit and Governance Committee Forward Plan

Strategic delivery: Setting standards Increasing and informing choice Demonstrating efficiency economy and value

Details:

Meeting Audit & Governance Committee Forward Plan

Agenda item 12

Paper number AGC (05/112/2017) 582

Meeting date 5 December 2017

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Output:

For information or decision? Decision

Recommendation The Committee is asked to review and make any further suggestions and comments and agree the plan.

Resource implications None

Implementation date N/A

Organisational risk Low Medium High

Not to have a plan risks incomplete assurance, inadequate coverage or unavailability key officers or information

Annexes N/A

Audit & Governance Committee Forward Plan

AGC Items Date:	6 Mar 2018	12 Jun 2018	9 Oct 2018	4 Dec 2018
Following Authority Date:	9 May 2018	27 Jun 2018	14 Nov 2018	Jan 2019
Meeting 'Theme/s'	Finance and Resources	Annual Reports, Information Governance, People	Strategy & Corporate Affairs, AGC review	Register and Compliance, Business Continuity
Reporting Officers	Director of Finance & Resources	Director of Finance & Resources	Director of Strategy & Corporate Affairs	Director of Compliance and Information
Strategic Risk Register	Yes	Yes	Yes	Yes
Information for Quality (IfQ) Prog	Yes	Yes	Yes	Yes
Annual Report & Accounts (inc Annual Governance Statement)		Yes – For approval		
External audit (NAO) strategy & work	Interim Feedback	Audit Completion Report	Audit Planning Report	Audit Planning Report
Information Assurance & Security		Yes		
Internal Audit Recommendations Follow-up	Yes	Yes	Yes	Yes
Internal Audit	Update	Results, annual opinion approve draft plan	Update	Update
Whistle Blowing, fraud (report of any incidents)	Update as necessary	Update as necessary	Update as necessary	Update as necessary
Contracts & Procurement including SLA management	Update as necessary	Update as necessary	Update as necessary	Update as necessary

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HR, People Planning & Processes		Yes		
Strategy & Corporate Affairs management			Yes	
Regulatory & Register management				Yes
Resilience & Business Continuity Management	Yes	Yes	Yes	Yes
Finance and Resources management	Yes			
Reserves policy			Yes	
Review of AGC activities & effectiveness, terms of reference	Yes			Yes
Legal Risks			Yes	
AGC Forward Plan	Yes	Yes	Yes	Yes
Session for Members and auditors	Yes	Yes	Yes	Yes
Other one-off items				