

Audit and Governance Committee Forward Plan

Strategic delivery: Setting standards Increasing and informing choice Demonstrating efficiency economy and value

Details:

Meeting Audit & Governance Committee Forward Plan

Agenda item 12

Paper number AGC (21/09/2016) 511

Meeting date 21 September 2016

Author Morounke Akingbola, Head of Finance

Output:

For information or decision? Decision

Recommendation The Committee is asked to review and make any further suggestions and comments and agree the plan.

Resource implications None

Implementation date N/A

Organisational risk Low Medium High

Not to have a plan risks incomplete assurance, inadequate coverage or unavailability key officers or information

Annexes N/A

Audit & Governance Committee Forward Plan

AGC Items Date:	7 December 2016	Mar 2017	June 2017	Sept 2017
Following Authority Date:	January 2017	May 2017	July 2017	November 2017
Meeting 'Theme/s'	Register and Compliance, Business Continuity	Finance and Resources	Annual Reports, Information Governance, People	Strategy & Corporate Affairs, AGC review
Reporting Officers	Nick Jones	Director of Finance and Resources	Peter Thompson	Juliet Tizzard
High Level Risk Register	Yes	Yes	Yes	Yes
Information for Quality (IfQ) Programme	Yes	Yes		
Annual Report & Accounts (inc Annual Governance Statement)			Approval	
External audit (NAO) strategy & work	Audit Planning Report	Interim Feedback	Audit Completion Report	Audit Planning Report
Information Assurance & Security			Yes	
Internal Audit Recommendations Follow-up	Yes	Yes	Yes	Yes
Internal Audit	Update	Results, annual opinion approve draft plan	Update	Update
Whistle Blowing, fraud (report of any incidents)	Update as necessary	Update as necessary	Update as necessary	Update as necessary
Contracts & Procurement including SLA management	Update as necessary	Update as necessary	Update as necessary	Update as necessary
HR, People Planning &			Yes	

AGC Items Date:	7 December 2016	Mar 2017	June 2017	Sept 2017
Processes				
Strategy & Corporate Affairs management				Yes
Regulatory & Register management	Yes			
Resilience & Business Continuity Management	Yes			
Finance and Resources management		Yes		
Reserves policy				Yes
Review of AGC activities & effectiveness, terms of reference	Yes			
Legal Risks		Yes		
AGC Forward Plan	Yes	Yes	Yes	Yes
Session for Members and auditors	Yes	Yes	Yes	Yes
Other one-off items				