

# Audit and Governance Committee Forward Plan

**Strategic delivery:**       Setting standards       Increasing and informing choice       Demonstrating efficiency economy and value

## Details:

Meeting      Audit & Governance Committee Forward Plan

Agenda item      14

Paper number      AGC (15/06/2016) 504

Meeting date      15 June 2016

Author      Sue Gallone, Director of Finance & Resources

## Output:

For information or decision?      Decision

Recommendation      The Committee is asked to review and make any further suggestions and comments and agree the plan.

Resource implications      None

Implementation date      N/A

Organisational risk       Low       Medium       High

Not to have a plan risks incomplete assurance, inadequate coverage or unavailability key officers or information

Annexes      N/A

### Audit & Governance Committee Forward Plan

<b>AGC Items Date:</b>	21 Sept 2016	7 December 2016	Mar 2017	June 2017
<b>Following Authority Date:</b>	16 November 2016	January 2017	May 2017	July 2017
<b>Meeting 'Theme/s'</b>	Strategy & Corporate Affairs, AGC review	Register and Compliance, Business Continuity	Finance and Resources	Annual Reports, Information Governance, People
<b>Reporting Officers</b>	Juliet Tizzard	Nick Jones	Sue Gallone	Peter Thompson
<b>High Level Risk Register</b>	Yes	Yes	Yes	Yes
<b>Information for Quality (IfQ) Programme</b>	Yes			
<b>Annual Report &amp; Accounts (inc Annual Governance Statement)</b>				Approval
<b>External audit (NAO) strategy &amp; work</b>	Audit Planning Report	Update	Interim Feedback	Audit Completion Report
<b>Information Assurance &amp; Security</b>				Yes
<b>Internal Audit Recommendations Follow-up</b>	Yes	Yes	Yes	Yes
<b>Internal Audit</b>	Update	Update	Early Results, approve draft plan	Plan, Results, annual opinion
<b>Whistle Blowing, fraud (report of any incidents)</b>	Update as necessary	Update as necessary	Update as necessary	Update as necessary
<b>Contracts &amp; Procurement including SLA management</b>	Update as necessary	Update as necessary	Update as necessary	Update as necessary
<b>HR, People Planning &amp; Processes</b>				Yes

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Strategy & Corporate Affairs management	Yes			
Regulatory & Register management		Yes		
Resilience & Business Continuity Management		Yes		
Finance and Resources management			Yes	
Reserves policy	Yes			
Review of AGC activities & effectiveness, terms of reference		Yes		
Legal Risks			Yes	
AGC Forward Plan	Yes	Yes	Yes	Yes
Session for Members and auditors	Yes	Yes	Yes	Yes
Other one-off items				